

2022 Membership Brochure

Mission

Our mission is to enhance and secure participant outcomes through education, research and advocacy on the benefits of private equity, hedge funds, and other alternative assets within a defined contribution framework.

Enhancing Retirement Security

The benefits to defined contribution (DC) participants are clear: the inclusion of a modest allocation of diversified, professionally managed alternative assets within a multi-asset portfolio, such as a target date suite or balanced fund, will likely enhance their retirement security. We are dedicated to finding solutions to help overcome market bias and operational impediments that may limit the use of alternative investments within DC plans.

Achieving our Mission

Through education, research, and advocacy, we work to:

- Overcome the operational, educational and regulatory impediments that have limited the uptake of alternative investments in DC plans
- Provide balanced information to educate the DC plan community, regulators and legislators on the benefits of including alternative investments in DC plans without bias for any product or solution
- Conduct research that measures the impact of alternative investments on long term portfolios and investment structures, and how they can best be utilized in DC plans
- Present a collective industry perspective to advocate for prudent modernization of the laws and regulations that can enhance participant outcomes through the inclusion of alternative assets in DC plans

The Industry's Collective Voice

Founded in 2015, DCALTA is a 501(c) non-profit organization composed of industry leaders from nearly all segments of the DC community, allowing us to represent the collective voice of the industry with a balanced view. Our members include a diverse range of plan sponsors, alternative investment firms, consultants, asset servicers, recordkeepers and other stakeholders in the DC community who support our mission. Our Board and President have extensive experience in retirement plan investment solutions, operational processes at the participant and trust fund levels and education on a global scale.



Why Join DCALTA?

- Have input on the agenda and priorities of the industry's collective voice advocating for increased access to alternative assets in DC plans
- Participate in regular DCALTA Meetings for up to two persons from each organization, with dial in access when unable to attend in person
- Ability to network and engage with other member firms and professionals at the DCALTA meetings
- Frequent webinars on relevant topics impacting DC plans and alternative investments
- Access to daily articles and the most recent research affecting alternative investments at www.dcalta.org/research

Representative Organizations

Adams Street Partners

Apollo Global Management

Alliance Bernstein

Ares

Benefit Trust

Benetic

BlackRock

Blackstone

Bow River Capital

Broadridge Financial Solutions

CAIS

Cambridge Associates

CEM Benchmarking

CNL Financial Group

Conversus

DTCC

Eli Lilly

Fairway Capital Management

FEV Analytics

ForUsAll

Franklin Templeton

FTSE Russell

GCM Grosvenor

Global Trust Company

Groom Law Group

iCapital

ICMA-RC

Institute for Portfolio Alternatives

Institute for Private Capital

Invesco

Inveniam

JM3 Projects

Johnson & Johnson

JP Morgan Asset Management

Lockheed Martin Investment Management

Montgomery County Employees' Retirement Plans

Mars Corporation

Nasdaq

Natixis

Neuberger Berman

Nokia

Northern Trust

Nuveen

Pantheon

PGIM

PivotalPath

Principal Real Estate Investors

RealBlocks

Rocket Dollar

Ruffer

Spark Institute

S&P Dow Jones Indices

StepStone Group

Textron

University of California Regents

VOYA Financial

XTAL Strategies

2022 Membership Levels

TIER #1

ASSET MANAGERS, CONSULTANTS AND RECORDKEEPERS

- Assets under management greater than \$50 billion USD
- \$12,000 annual membership

TIER #2

ASSET MANAGERS, CONSULTANTS AND RECORDKEEPERS

- Assets under management between \$1 billion and \$50 billion USD
- \$8,000 annual membership

TIER #3

ASSET MANAGERS, CONSULTANTS AND RECORDKEEPERS

- Assets under management under \$1 billion USD
- \$4,000 annual membership

TIER #4

ASSET SERVICERS, NON-AUM FIRMS, INDICES & FINTECH FIRMS, LEGAL/ACCT.FIRMS

- \$4,000 annual membership

TIER #5

PLAN SPONSORS AND OTHER INDIVIDUAL DC STAKEHOLDERS

- \$300 annual membership

For further information on DCALTA membership please email admin@dcalta.org or contact our President, Jonathan Epstein at je1@dcalta.org.