

## 2024 Membership Brochure

### **Mission**

Our mission is to enhance and secure participant outcomes through education, research and advocacy on the benefits of including alternative investments like private equity/debt, real estate, hedge funds, and infrastructure within a defined contribution framework.

### **Enhancing Retirement Security**

The benefits to defined contribution (DC) participants are clear: the inclusion of a modest allocation of diversified, professionally managed alternative assets within a multi-asset portfolio, such as a target date suite or balanced fund, will likely enhance their retirement security. We are dedicated to finding solutions to help overcome market bias and operational impediments that may limit the use of alternative investments within DC plans.

### **Achieving our Mission**

*Through education, research, and advocacy, we work to:*

- Overcome the operational, educational and regulatory impediments that have limited the uptake of alternative investments in DC plans.
- Provide balanced information to educate the DC plan community, regulators and legislators on the benefits of including alternative investments in DC plans without bias for any product or solution.
- Conduct research that measures the impact of alternative investments on long term portfolios and investment structures, and how they can best be utilized in DC plans
- Present a collective industry perspective to advocate for prudent modernization of the laws and regulations that can enhance participant outcomes through the inclusion of alternative assets in DC plans

### **The Industry's Collective Voice**

Founded in 2015, DCALTA is a 501(c) non-profit organization composed of industry leaders from nearly all segments of the DC community, allowing us to represent the collective voice of the industry with a balanced view. Our members include a diverse range of plan sponsors, alternative investment firms, consultants, asset servicers, recordkeepers and other stakeholders in the DC community who support our mission. Our Board and President have extensive experience in retirement plan investment solutions, operational processes at the participant and trust fund levels and education on a global scale.

# DCALTA

Defined Contribution  
Alternatives Association

## Why Join DCALTA?

- Have input on the agenda and priorities of the industry's collective voice advocating for increased access to alternative assets in DC plans.
- Participate in our *Alts Implementation & Operations Committee* and the *Alts Technology & Innovations Committee*
- Participate in 3 DCALTA Meetings (2 persons) with virtual access when unable to attend in person (unlimited).
- Access past meetings and presentations on the DCALTA members-only site.
- Receive a 12 month subscription to the *Broadcast Retirement Network* and 20% off for all other colleagues.
- Ability to network and engage with other member firms and professionals.
- Be part of an organization advocating for investment diversification and access to alts for all retirement savers
- Frequent webinars on relevant topics impacting DC plans and alternative investments.
- Access to daily articles and the most recent research affecting alternative investments at [www.dcalta.org/research](http://www.dcalta.org/research).

## Representative Organizations

Adams Street Partners

Alameda County

Apollo Global Management

Alliance Bernstein

Ares

Benefit Trust

BlackRock

Blackstone

Bow River Capital

Broadridge Financial Solutions

Brookfield Asset Management

CAIS

Cambridge Associates

Carlyle

CBRE Investment Management

CEM Benchmarking

Clifford Chance

Coinbase Institutional

DTCC

Eli Lilly

Fairway Capital Management

Franklin Templeton

FS Investments

FTSE Russell

Global Trust Company

Goldman Sachs Asset Management

Groom Law Group

iCapital

Institute for Portfolio Alternatives

Institute for Private Capital

Inveniam

Invesco

JP Morgan Asset Management

KKR

M2M Capital

Mars Corporation

Mission Square

Morningstar Indexes

Nasdaq

Natixis

Neuberger Berman

Nokia

Northern Trust

Nuveen

PGIM

PIMCO

PivotalPath

Principal Real Estate Investors

Rocket Dollar

Spark Institute

S&P Global Indices

SEI

Simpson Thacher

StepStone Group

Stout

Textron

UBS Realty Investors

UMB Fund Services

vWise

University of California Regents

VOYA Financial

XTAL Strategies

## 2024 Membership Levels

### TIER #1

#### ASSET MANAGERS, CONSULTANTS AND RECORDKEEPERS

- Assets under advisement/management greater than \$50 billion USD
- \$12,000 annual membership

### TIER #2

#### ASSET MANAGERS, CONSULTANTS AND RECORDKEEPERS

- Assets under advisement/management between \$1 billion and \$50 billion USD
- \$8,000 annual membership

### TIER #3

#### ASSET MANAGERS, CONSULTANTS AND RECORDKEEPERS

- Assets under advisement/management under \$1 billion USD
- \$6,000 annual membership

### TIER #4

#### ASSET SERVICERS, NON-AUM FIRMS, INDICES & FINTECH FIRMS, LEGAL/ACCT.FIRMS

- \$4,000 annual membership

### TIER #5

#### PLAN SPONSORS AND INDIVIDUAL INDUSTRY PARTICIPANTS \*

- \$300 annual membership

\* Individuals who are retired and have served on the board

For further information on DCALTA membership please email [admin@dcalta.org](mailto:admin@dcalta.org) or contact our President, Jonathan Epstein at [je1@dcalta.org](mailto:je1@dcalta.org).